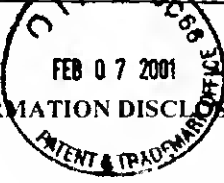


Form PTO-1449 (Rev. 8-83)		U.S. Department of Commerce Patent and Trademark Office		Atty Docket 0756-2062		Serial No. 09/433,705	
 INFORMATION DISCLOSURE STATEMENT				Applicants: Shunpei YAMAZAKI			
				Filing Date: November 04, 1999		Group Art Unit: 2811	
U.S. PATENT DOCUMENTS							
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date (if appropriate)	
Loke	5,949,107	09/07/1999	Zhang				
Loke	6,001,714	12/14/1999	Nakajima et al.				
Examiner <i>Loke</i>			Date Considered <i>1/26/02</i>				
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Please type a plus sign (+) inside this box → []

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	09/433,705
		Filing Date	November 4, 1999
		First Named Inventor	Shunpei YAMAZAKI et al.
		Group Art Unit	2811
		Examiner Name	S. Loke
		Attorney Docket Number	0756-2062
Sheet	1	1	

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
Loke		5,217,910		Shimizu et al.	06/08/1993	
		5,532,176		Katada et al.	07/02/1996	
		5,413,945		Chien et al.	05/09/1995	
Loke		6,222,238	B1	Chang et al.	04/24/2001	

FOREIGN PATENT DOCUMENTS							
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office ³	Number ⁴	Kind Code ² (if known)			

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
Loke		Specification and Drawings for Application No. 08/922,363, filed September 3, 1997, "Semiconductor Device and Method of Manufacturing Thereof", Hongyong ZHANG et al.	
Loke		Specification and Drawings for Application No. 09/526,486, filed March 15, 2000, "Semiconductor Device and Method of Manufacturing Thereof", Hongyong ZHANG et al.	
Loke		Specification and Drawings for Application No. 09/526,487, filed March 15, 2000, "Semiconductor Device and Method of Manufacturing Thereof", Hongyong ZHANG et al.	

Examiner Signature	Loke	Date Considered	1/26/02
--------------------	------	-----------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

NVA200841.1

-1449
33)

AUG 17 2001

U.S. Department of Commerce
Patent and Trademark Office

Atty Docket 0756-2062

Serial No. 09/433,705

INFORMATION DISCLOSURE STATEMENT

Applicants: Shunpei YAMAZAKI

Filing Date: November 04, 1999

Group Art Unit: 2811

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
Loke	09-293600 ✓	11/11/1997	JP			Eng Abst	

Examiner

Loke

Date Considered

1/26/02

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

08/14/2001

FEB 07 2001

INFORMATION DISCLOSURE STATEMENT

Applicants: Shunpei YAMAZAKI

Filing Date: November 04, 1999

Group Art Unit: 2811

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date (if appropriate)
Loke	5,949,107	09/07/1999	Zhang			
Loke	6,001,714	12/14/1999	Nakajima et al.			

Examiner

Loke

Date Considered

1/26/02

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

01/31/2001

INFORMATION DISCLOSURE STATEMENT

JUL 0 5 2001

Applicants: Shunpei YAMAZAKI

Filing Date: November 04, 1999

Group Art Unit: 2811

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date (if appropriate)
Loke	5,686,328	11/11/1997	Zhang et al.			
Loke	6,030,667	02/29/2000	Nakagawa et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
Loke	09-293600	11/11/1997	JP			X

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial	
Loke	Specification and Drawings, of U.S. Patent Application, 09/714,891, filed, November 17, 2000
Loke	Specification and Drawings, of U.S. Patent Application, 09/619,732, filed, July 19, 2000
Loke	Specification and Drawings, of U.S. Patent Application, 09/618,930, filed, July 18, 2000

Examiner Loke Date Considered 1/26/02

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

07/05/2001

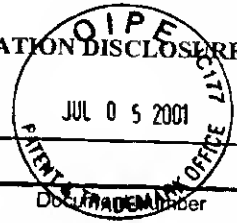
Form PTO-1449
(Rev. 8-83)

U.S. Department of Commerce
Patent and Trademark Office

Atty Docket 0756-2062

Serial No. 09/433,705

INFORMATION DISCLOSURE STATEMENT



Applicants: Shunpei YAMAZAKI

Filing Date: November 04, 1999

Group Art Unit: 2811

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date (if appropriate)
Loke	5,686,328	11/11/1997	Zhang et al.			
Loke	6,030,667	02/29/2000	Nakagawa et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes	Translation No
Loke	09-293600	11/11/1997	JP				X

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial	
Loke	Specification and Drawings, of U.S. Patent Application, 09/714,891, filed, November 17, 2000
Loke	Specification and Drawings, of U.S. Patent Application, 09/619,732, filed, July 19, 2000
Loke	Specification and Drawings, of U.S. Patent Application, 09/618,930, filed, July 18, 2000

Examiner Loke Date Considered 1/26/02

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

07/05/2001



3

Form PT0-1449 (Rev. 8-83)		U.S. Department of Commerce Patent and Trademark Office		Atty. Docket No.: 0756-2062		Serial No.	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				Applicant:			
				Filing Date:		Group:	
U.S. PATENT DOCUMENTS							
Examiner Initial		Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (if approp.)
Like		5,643,826	07/01/97	Ohtani et al.			
↓		5,923,962	07/13/99	Ohtani et al.			
↓		5,247,190	09/21/93	Friend et al.			
Like		5,399,502	03/21/95	Friend et al.			
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Subclass	Translation Yes No
Like		07-130652	05/19/95	Japan			Abst.
↓		08-078329	03/22/96	Japan			Abst.
↓		10-135468	05/22/98	Japan			Abst.
↓		10-135469	05/22/98	Japan			Abst.
↓		10-294280	11/04/98	Japan			Abst.
↓		11-191628	07/13/99	Japan			Abst.
↓		10-92576	04/10/98	Japan			Abst.
Like		WO 90/13148	11/01/90	PCT			English
OTHER DOCUMENTS (Including Author, Title, Relevant Pages, Date, Place of Publication)							
Like		Shimokawa et al., Characterization of High-Efficiency Cast-Si Solar Cell Wafers by MBIC Measurement, Japanese Journal of Applied Physics, Vol. 27, No. 5, May, pp. 751-758, 1988.					
Like		Hatano et al., A Novel Self-Aligned Gate-Overlapped LDD Poly-Si TFT With High Reliability and Performance, IEDM Technical Digest 97, pp. 523-526					
Like		Hermann Schenk et al., Polymers for Light Emitting Diodes, EuroDisplay '99 Proceedings, pp. 33-37					
Examiner		Like		Date Considered		1/26/02	
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

JUN 1 A 2000

JUN 12 2000

Sheet 1 of 1

Form PTO-1449 U.S. Department of Commerce
(Rev. 8-83) Patent and Trademark Office

Atty. Docket No.: 0756-2062

Serial No. 09/433,705

Applicant: Shunpei YAMAZAKI

INFORMATION DISCLOSURE STATEMENT

Filing Date: November 4, 1999

Group: 2811

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS (Including Author, Title, Relevant Pages, Date, Place of Publication)

Examiner

Loke

Date Considered

1/26/02

***EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.